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# Onset coarsening—coalescence kinetics of ZrO<sub>2</sub> and less refractory TiO<sub>2</sub> nanoparticles: Effect of homologous temperature and phase transformation

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#### **Abstract**

Specific surface area change of  $ZrO_2$  (predominant tetragonal – (t) symmetry, 30–50 nm) and less refractory  $TiO_2$  anatase nanoparticles (20–50 nm) upon isothermal firing at 700– $1000\,^{\circ}$ C in air was determined by  $N_2$  adsorption–desorption hysteresis isotherm. The nanoparticles underwent onset coarsening–coalescence within minutes without appreciable phase transformation for  $TiO_2$ , but with extensive transformation into monoclinic (m-) symmetry for  $ZrO_2$ . The apparent activation energy of such a process being not much higher for  $ZrO_2$  ( $77\pm23$  kJ/mol) than  $TiO_2$  ( $56\pm3$  kJ/mol) nanoparticles can be attributed to transformation plasticity. The minimum temperature for coarsening/coalescence of the present  $ZrO_2$  and  $TiO_2$  nanoparticles was estimated as 710 and  $641\,^{\circ}$ C, respectively.

Keywords: Onset coarsening-coalescence; ZrO2; TiO2; Nanoparticles; BET; BJH

#### 1. Introduction

Zirconium and titanium dioxides are important ceramic materials for many engineering applications. Zirconia (ZrO<sub>2</sub>) was widely used as thermal sensor, whereas tetragonal (t-) zirconia polycrystals (TZP) have a beneficial transformation toughening effect of martensitic t- to monoclinic (m-) transformation under stress<sup>2,3</sup> and even fair ductility at low temperatures when the crystal size falls in nanometers.<sup>4</sup> As for anatase TiO<sub>2</sub>, it has important optocatalytic applications<sup>5</sup> and is thermodynamically more stable than rutile when the particle size is below  $\sim$ 14.5 nm. <sup>6,7</sup> The surface area reduction and durability of nanosized particles in a specific temperature range are of concern to the preparation and heterogeneous catalysis use<sup>8</sup> of the two oxides in thin film<sup>9,10</sup> or bulk assembly upon thermal exposure in a static or dynamic process. It is thus of great interest to clarify whether vigorous onset coarsening-coalescence events of the tetragonal (t-) ZrO<sub>2</sub> and anatase-type TiO<sub>2</sub> nanoparticles proceed before their transformation into the stable polymorphs.

From thermodynamic viewpoint, nanosized particles having relatively high specific surface area and low melting

point<sup>11</sup> and hence a beneficial high homologous temperature  $(T/T_m)$ , where  $T_m$  is melting point in Kelvin) than the bulk are expected to coarsen, coalesce and/or sinter rapidly at relatively low temperatures. This has been recently proved for close-packed oxide nanoparticles with varied shape, i.e. equiaxed γ-Al<sub>2</sub>O<sub>3</sub>, <sup>12</sup> platy cobalt oxide <sup>13</sup> and hexagonal rod of ZnO14 based on electron microscopic observations coupled with BET/BJH measurements of specific surface area and pore size/shape change of the dry-pressed samples subjected to isothermal firing at temperatures for minutes. (BET and BJH denote Brunauer-Emmett-Teller method<sup>15</sup> and Barrett–Joyner–Halenda method,<sup>16</sup> respectively.) The specific-surface-area change rate in the steady state was used to determine the apparent activation energy of a vigorous onset coarsening-coalescence event for these oxide nanoparticles. 12-14 In addition, the minimum temperature for  $\{0\ 1\ \overline{1}\ 0\}$ - and  $(0\ 0\ 0\ 1)$ -specific coarsening/coalescence of the hexagonal ZnO nanorods was estimated to be 516 °C based on the extrapolation of steady specific surface area reduction rates

Here the BET/BJH method is further employed to compare the onset coarsening-coalescence kinetics of nanosized  $ZrO_2$  ( $T_m = 3023 \text{ K}$ ) and much less refractory  $TiO_2$  ( $T_m = 2116 \text{ K}$ ) with bulk melting temperatures noted in parenthesis. We focused on the activation energy and minimum temperatures for incipient

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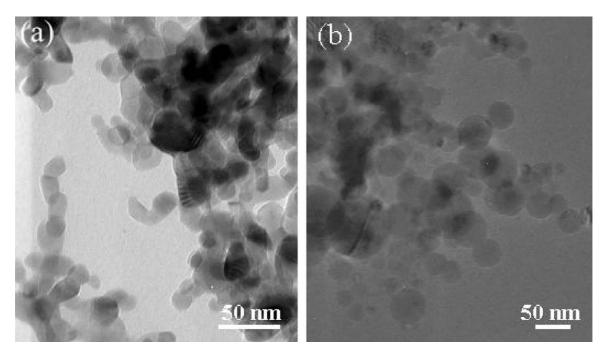


Fig. 1. TEM bright field image of the slightly faceted powders: (a)  $ZrO_2$  and (b)  $TiO_2$  having 20–50 nm and 30–50 nm in size, respectively. The  $TiO_2$  particles are occasionally up to 100 nm in diameter.

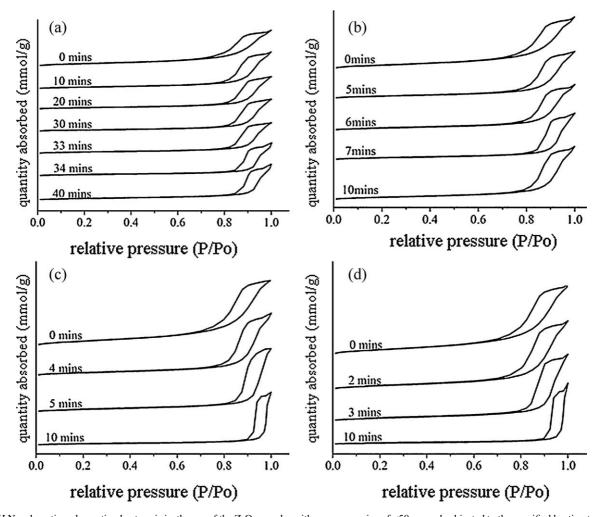


Fig. 2. BJH  $N_2$  adsorption—desorption hysteresis isotherms of the  $ZrO_2$  powder with an average size of <50 nm and subjected to the specified heating treatments: (a)  $700\,^{\circ}$ C, 0– $40\,\text{min}$ , (b)  $800\,^{\circ}$ C, 0– $10\,\text{min}$ , (c)  $900\,^{\circ}$ C, 0– $10\,\text{min}$ , and (d)  $1000\,^{\circ}$ C, 0– $10\,\text{min}$ .

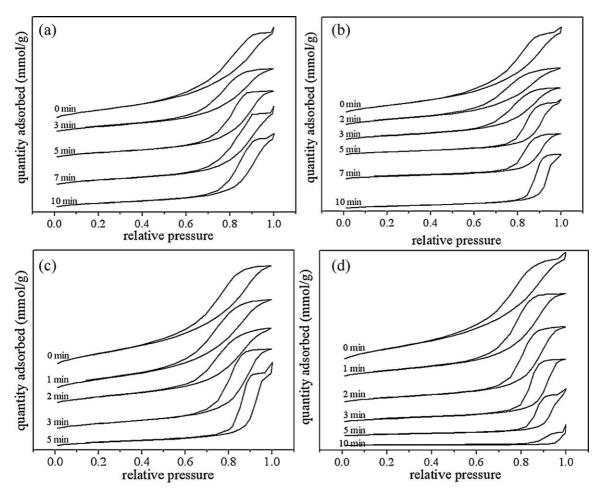


Fig. 3. BJH  $N_2$  adsorption–desorption hysteresis isotherms of the TiO<sub>2</sub> powder with an average size of <50 nm and subjected to the specified heating treatments: (a) 700 °C, 0–10 min, (b) 800 °C, 0–10 min, (c) 900 °C, 0–5 min, and (d) 1000 °C, 0–10 min.

coarsening—coalescence of the nanoparticles and the underlying effect, if any, of the polymorphic transformation below a critical specific surface area. This subject is not only of interest to  $\rm ZrO_2$  sensor and  $\rm TiO_2$  photocatalytic applications at temperatures but also accounts for rapid assembly of nanocondensates by flame reactors, gas evaporation method nethod laser ablation (PLA) under the influence of radiant heating.  $\rm 1^{8-21}$ 

#### 2. Experimental

ZrO<sub>2</sub> (Aldrich, 99.7% with t- and minor m-phase) and TiO<sub>2</sub> anatase (Aldrich, 99.7%) powders predominantly less than 50 nm in size were die-pressed at 650 MPa into disks ca. 5 mm in diameter and 2 mm in thickness followed by isothermal firing at 700–1000 °C corresponding to homologous temperature (*T/T*<sub>m</sub> in Kelvin) range 0.32–0.42 and 0.46–0.60, respectively in air. The disks were fired in 5–10 min increments at 700 and 800 °C and 1–2 min increments at 900 and 1000 °C in air, until onset coarsening–coalescence was noted by the type of adsorption–desorption hysteresis isotherm. The starting powders were dispersed on a carbon-coated collodion film for phase, shape and size distribution characterizations using transmission electron microscopy (TEM, JEOL 3010 at 300 kV). Microstructure changes of the samples due to dry pressing and heating were

studied by scanning electron microscopy (SEM, JEOL 6330 at  $10\,kV$ ). The phase identity of the dry pressed and heat treated samples was determined mainly by X-ray diffraction (XRD, CuK $\alpha$ ,  $40\,kV$ ,  $30\,mA$  at  $0.05^\circ$  and  $3\,s$  per step).

Nitrogen adsorption/desorption isotherms of the dry pressed and then heated powders were conducted at liquid nitrogen temperature of 77 K using a Micromeritics ASAP 2020 instrument. The surface area and pore size distributions were obtained from the N<sub>2</sub> adsorption and desorption branch, using the BET<sup>15</sup> and BJH method,  $^{16}$  in low and high relative pressure  $(P/P_0)$ range, respectively where  $P_0$  is the saturation pressure determined as 760-762 mmHg. A filler rod containing ca. 0.1 g of ZrO<sub>2</sub> and 0.7 g of TiO<sub>2</sub> sample with a theoretical density of 5.9 g/cm<sup>3</sup> (assuming a negligible difference from that of the parent fluorite type) and 3.9 g/cm<sup>3</sup>, respectively was pumped down to  $10^{-3}$  Torr for degassing at 300 °C followed by BET/BJH measurements at a relative pressure increment 0.05. The BET isotherm and BJH adsorption/desorption hysteresis type of the samples are classified according to the scheme of International Union of Pure and Applied Chemistry (IUPAC).<sup>22</sup> The H1 type adsorption/desorption hysteresis loop of the type IV isotherm (cf. Appendix 1 of Ref. 12) was used as an indicator of cylindrical pore formation and onset coarsening-coalescence or possible sintering.

Table 1
BET/BJH data and phase identity of ZrO<sub>2</sub> powder subjected to various treatments.

T (°C)- $t$ (min)	Specific surface area (m <sup>2</sup> /g)	Ads./desorp. pore width (nm)	Phases
Dry pressed	38.05 (S <sub>0</sub> )	12.9/11.5	t>m
700-10	27.85	20.0/15.0	t + m
700-20	23.48	19.8/14.7	t + m
700-30	21.30	20.6/14.9	m > t
700-35	20.75	24.2/18.0	$m\gg t$
700-40	21.11	21.4/17.7	m
700-50	18.43	24.9/17.7	m
800-5	26.45	19.2/13.8	t + m
800-6	25.29	19.0/14.5	t + m
800-7	20.54	21.8/15.9	m > t
800-8	23.25	21.6/16.0	$m\gg t$
800-10	16.94	29.2/17.5	m
800-20	13.58	39.5/21.8	m
800-30	15.64	30.6/20.1	m
800-40	12.86	36.3/20.0	m
800-50	13.20	34.1/20.7	m
900-4	22.07	18.8/14.1	t + m
900-5	19.60	25.1/18.6	m > t
900-6	16.99	25.0/16.4	$m\gg t$
900-10	10.62	45.3/25.2	m
900-20	10.16	39.5/25.9	m
900-30	11.39	26.7/23.7	m
900-40	9.64	38.8/26.5	m
900-50	9.23	52.2/30.2	m
900-60	9.31	48.1/29.6	m
1000-2	28.32	16.7/12.9	t + m
1000-3	21.09	22.8/16.3	m > t
1000-5	13.51	33.9/20.5	$m\gg t$
1000-10	8.67	56.5/30.7	m
1000-20	7.56	40.9/29.9	m
1000-30	7.82	42.0/32.6	m
1000-40	8.23	29.3/27.1	m
1000-50	7.21	33.7/28.3	m
1000-60	6.88	44.7/33.6	m

Note: Ads./desorp. denotes adsorption/desorption. The identity of phases is based on XRD and  $S_0$  is the average of 4 independent observations.

#### 3. Results

#### 3.1. Size, shape and phase identity of nanoparticles

TEM observations by bright field image indicated that the starting  $\rm ZrO_2$  powders are equi-axed with slight facets and ca. 30–50 nm in diameter (Fig. 1a). The twinned m- $\rm ZrO_2$  nanoparticles are due to polymorphic transformation from the predominant t-phase. The  $\rm TiO_2$  powders are also equi-axed with a predominant size of 20–50 nm although minor abnormal large particles up to 100 nm in size were occasionally observed (Fig. 1b). Regardless of the size difference, the  $\rm TiO_2$  powders remained as anatase with slight facets.

XRD (Appendix 1) confirmed that the starting ZrO<sub>2</sub> powders have a predominant t-phase and minor m-phase. However, t  $\rightarrow$  m transformation occurred for the ZrO<sub>2</sub> powders upon isothermal dwelling in the temperature range of 700–1000 °C because m-phase is stabilized below ca. 1200 °C under ambient pressure condition. As for the TiO<sub>2</sub> powders, the anatase  $\rightarrow$  rutile transformation did not occur except fired for a long time at a relatively high temperature, e.g. more than 7 min at 1000 °C.

### 3.2. BET/BJH observations of pore and specific surface area changes by firing

BET data of the fired samples indicated that the specific surface area decreases whereas average pore size increases with the increase of dwelling time at a specific firing temperature for  $ZrO_2$  and  $TiO_2$  powders as compiled in Tables 1 and 2, respectively. The drastic change of specific surface area and average pore size are related to the formation of cylindrical and/or truncated pores as further revealed by the following BJH analyses.

The BJH  $N_2$  adsorption–desorption hysteresis isotherms of the  $ZrO_2$  powders dry pressed and further fired at 700, 800, 900 and  $1000\,^{\circ}\text{C}$  for specified time periods (Fig. 2a, b, c and d, respectively) show hysteresis loops in the relative pressure range 0.75-1.0 (i.e. actual pressure range 571.5-762 mmHg). The dry pressed sample has H2 and H1 mixed type loop. The time to form nearly perfect H1 type loop, as an indicator of cylindrical pore formation and specific surface area reduction rate, decreases with the increase of firing temperature. The time of specific surface area reduction by  $\sim 50\%$  with respect to the dry pressed sample are  $39\pm10$ ,  $8\pm3$ ,  $5\pm0.7$  and  $4\pm0.3$  min at 700, 800,

Table 2
BET/BJH data and phase identity of TiO<sub>2</sub> powder subjected to various treatments.

$T(^{\circ}C)$ - $t$ (min)	Specific surface area (m <sup>2</sup> /g)	Ads./desorp. pore width (nm)	Phases
Dry pressed	$150.55(S_0)$	7.3/6.5	Anatase
700-3	110.08	8.7/7.6	Anatase
700-5	85.61	10.7/9.1	Anatase
700-7	85.45	11.6/10.1	Anatase
700-8	88.10	12.2/10.0	Anatase
700-10	70.89	13.5/11.4	Anatase
800-2	110.21	8.0/7.0	Anatase
800-3	83.83	9.5/8.2	Anatase
800-4	81.06	11.6/9.6	Anatase
800-5	55.71	14.5/11.9	Anatase
800-7	50.05	14.6/11.7	Anatase
800-10	44.71	19.7/16.2	Anatase
800-60	37.28	21.9/18.1	Anatase
900-1	116.25	7.4/6.5	Anatase
900-2	81.70	8.7/7.4	Anatase
900-3	66.94	11.4/9.5	Anatase
900-4	49.64	17.1/13.3	Anatase
900-5	40.79	17.5/13.6	Anatase
1000-1	85.28	9.4/8.0	Anatase
1000-2	60.45	14.1/11.0	Anatase
1000-3	41.25	15.4/12.2	Anatase
1000-5	22.25	19.0/14.5	Anatase
1000-10	3.92	43.9/28.2	Rutile
1000-60	4.19	32.5/19.8	Rutile

900 and 1000 °C, respectively (Table 1, cf. rate curves in Fig. 6). A longer firing time up to 60 min in this temperature range did not change much the H1 type loop indicating cylindrical pores are still dominating in such fired samples.

The adsorption-desorption hysteresis isotherms are quite different for the samples made of TiO<sub>2</sub> powders as compiled in Fig. 3. The green body consisting of such powders shows H2 type loop in a wider relative pressure range (0.5–1.0) than ZrO<sub>2</sub> presumably due to different surface state of the TiO2 and ZrO2 powders besides a wider size distribution of the latter. The loop shifts to a higher relative pressure and changes into H1 type, corresponding to cylindrical pore formation of the samples fired for ca. 10 min at 700 °C (Fig. 3a), 5 min at 800 °C (Fig. 3b), 3 min at 900 °C (Fig. 3c) and 1000 °C (Fig. 3d). Considering further the specific surface area change relative to the dry pressed sample (Table 2), the onset time for a total of 50% drop of the specific surface area and accompanied cylindrical pore formation was determined as  $8 \pm 2$ ,  $4 \pm 0.9$ ,  $3 \pm 0.5$ , and  $1 \pm 0.09$  min for 700, 800, 900 and 1000 °C, respectively. A longer firing time in this temperature range improves the H1 type loop and shifts it toward a higher relative pressure (0.7–1.0), presumably due to repacking of the nano-sized powders as discussed later. A firing time of 10 min at 1000 °C was enough to cause the change of H1 type loop into irregular shape (Fig. 3d) due to the formation of the truncated pores from cylindrical ones.

#### 3.3. SEM observations of microstructures

In general, dry pressing did not cause appreciable cracking and particle size change but cause significant agglomeration for the finer sized particles as indicated by SEM secondary electron

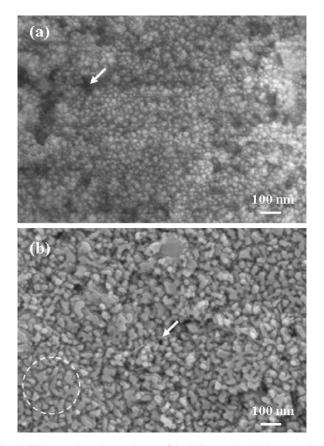


Fig. 4. SEM secondary electron image of the  $ZrO_2$  powder: (a) dry pressed and slightly agglomerated with pores (arrow), and (b) further heated at  $900\,^{\circ}C$  for 5 min to form cylindrical pores (arrow) and to slightly coarsen and repack the particles (circle).

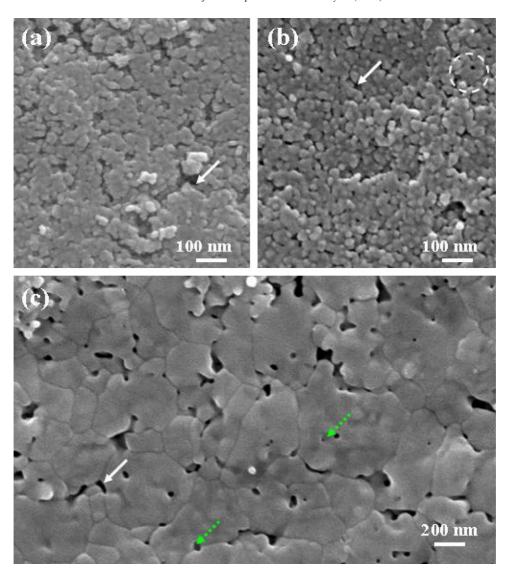


Fig. 5. SEM secondary electron image of the  $TiO_2$  powder: (a) dry pressed and slightly agglomerated, and (b) further heated at  $800 \,^{\circ}$ C for 7 min to form cylindrical pores at grain junctions (white arrow) and among repacked anatase nanoparticles (circle) and (c) further heated at  $1000 \,^{\circ}$ C for  $10 \,^{\circ}$ min to show cylindrical pores (white arrow) and truncated intra- and intergranular pores (green dashed arrow). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

images of the two samples with different average particle size in Figs. 4a and 5a. In both cases, the cylindrical pores characteristic of slightly sintered bodies are vague, and the relative density is difficult, if not impossible, to measure because the dry pressed powders tended to disperse in water during such measurements.

The occurrence of cylindrical pores, an indicator of onset coarsening/coalescence, in the samples fired for a suitable time period at a specified temperature was confirmed by SEM observations, as shown representatively in Figs. 4b and 5b, for TiO<sub>2</sub> and ZrO<sub>2</sub>, respectively. Significant repacking and coalescence of slightly coarsened particles to form irregular shaped islands was observed to be associated with the sintering process in particular for the ZrO<sub>2</sub> particles (Fig. 4b). The truncated pores, an indicator of further coarsening/coalescence or even sintering, were observed only for the TiO<sub>2</sub> sample when fired at 1000 °C for 10 min for significant coarsening (Fig. 5c) accompanied with anatase  $\rightarrow$  rutile transformation as mentioned.

#### 4. Discussion

4.1. Adsorption—desorption hysteresis loop characteristic of mesopore change

Capillary condensation typically occurs for mesopores in a size range 2–50 nm to show type IV isotherm, which can be classified into H1 to H4 subtypes  $^{22}$  (cf. Appendix 1 in Ref. 12). According to the present observations of BJH  $N_2$  adsorption–desorption isotherms, the dry pressed  $\text{TiO}_2$  and  $\text{ZrO}_2$  powders have a hysteresis loop somewhat between H1 and H2 type.

Regardless of the difference in powder size, the  $TiO_2$  and  $ZrO_2$  samples just went through an onset coarsening–coalescence event have cylindrical mesopores with a characteristic H1 type hysteresis loop similar to the case of other mesoporous materials with a pore width range 2–50 nm.  $^{22}$ 

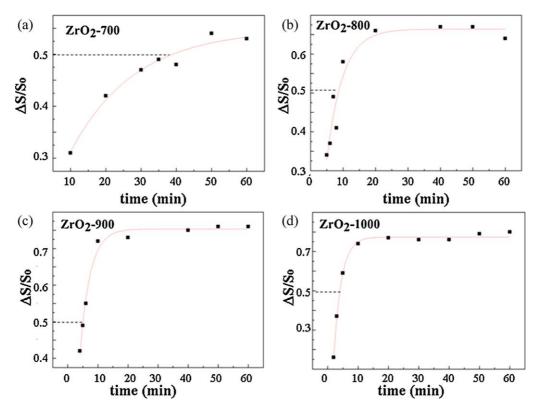


Fig. 6. Observed rate curves in terms of percent reduction of specific surface area ( $\Delta S/S_0$ , where  $S_0$  is the initial quantity) versus time for nanosized ZrO<sub>2</sub> powders at specified temperatures from 700 to 1000 °C. The time  $t_{0.5}$ , i.e. with 50% reduction of specific surface area as denoted by dashed line, used for activation energy estimation, falls within an almost linear region.

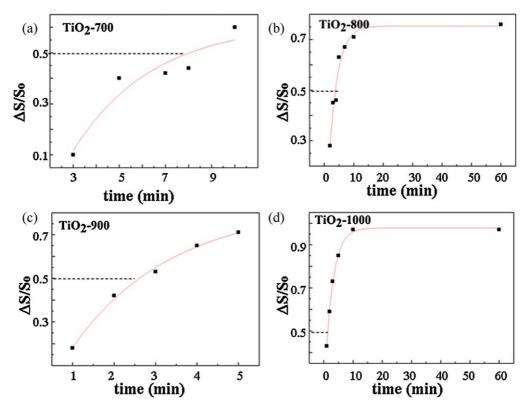


Fig. 7. Observed rate curves in terms of per cent reduction of specific surface area ( $\Delta S/S_0$ , where  $S_0$  is the initial quantity) versus time for nanosized TiO<sub>2</sub> powders at specified temperatures from 700 to 1000 °C. The time  $t_{0.5}$ , i.e. with 50% reduction of specific surface area as denoted by dashed line, used for Activation energy estimation, falls within an almost linear region.

The kinetics to form cylindrical mesopores, however, depends on the size and shape of the starting powders. In general, the faceted and more uniform-sized ZrO<sub>2</sub> powders (30–50 nm) have a considerable larger pore size than the spherical TiO2 powders with a wider distribution of particle size (20–50 nm) given the same firing temperature and time (Tables 1 and 2). Having a smaller pore size, the TiO<sub>2</sub> samples would allow easier pore migration and growth/coalescence at temperatures. This accounts for a wider size distribution of pores and less well-defined H1 type loop in a wider range of relative pressure for the TiO<sub>2</sub> powders upon firing. A longer firing time in the temperature range 700-1000 °C improved the H1 type loop for both ZrO2 and TiO2 powders due to a pronounced coarsening and repacking process of nanoparticles for effective sintering,  $^{24}$  as the case of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> nanoparticles.  $^{12}$  On the other hand, the pores among the coarsened and repacked TiO<sub>2</sub> particles drastically doubled in size when the fire time increased from 5 to 10 min at 1000 °C (Table 2) corresponding to the necking of the cylindrical pores to form truncated pores and the anatase -> rutile transformation accompanied with a significant density increase from 3.82–3.97 to 4.23–5.5.<sup>25</sup> In any case, surface energy change as the combined results of size, shape and phase identity of the nanoparticles would also affect the kinetics of N2 adsorption and desorption and hence the hysteresis loop.

## 4.2. Thermally activated coarsening—coalescence of nanoparticles under additional influence of phase transformation

The present experimental results indicated that  $\rm ZrO_2$  and anatase  $\rm TiO_2$  nanoparticles were thermally activated at homologous temperature range 0.32–0.42 and 0.46–0.60 (based on bulk  $T_{\rm m}$  3023 K and 2116 K, respectively) in air for a vigorous coarsening–coalescence event. In addition, our previous study indicated that  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> nanoparticles were thermally activated at 1100–1400 °C, i.e. homologous temperature range 0.59–0.72 based on bulk  $T_{\rm m}$  2311 K, in air for the same event. The supposedly more refractory  $\rm ZrO_2$  turned out to be activated at much lower homologous temperature than  $\rm TiO_2$  and  $\rm Al_2O_3$ . The apparent activation energy is essential to address this point as following.

On the basis of the drastic decrease of specific surface area by 50% (Tables 1 and 2) in the linear region of the rate curves (Figs. 6 and 7), the onset time  $t_{0.5}$  for  $ZrO_2$  and  $TiO_2$  powders to coarsen and coalesce were determined, respectively. The onset time  $t_{0.5}$  for  $ZrO_2$  with accompanied  $t \rightarrow m$  transformation (Table 1) turned out to be  $39 \pm 10$ ,  $8 \pm 3$ ,  $5 \pm 0.7$ , and  $4 \pm 0.3$  min at 800, 900, 1000 and 1100 °C, respectively. The corresponding Arrhenius plot of the reciprocal time  $t_{0.5}$  for onset coarsening–coalescence versus the reciprocal temperature in Kelvin gives an apparent activation energy  $77 \pm 2$  kJ/mol considering the maximum uncertainty of each data point (Fig. 8a). As a comparison, the anatase  $TiO_2$  powders have a lower activation energy  $(56 \pm 3$  kJ/mol) (Fig. 8b) for onset coarsening–coalescence given the Arrhenius plot of the  $t_{0.5}$  data points  $8 \pm 2$ ,  $4 \pm 0.9$ ,  $3 \pm 0.5$ , and  $1 \pm 0.1$  min in the

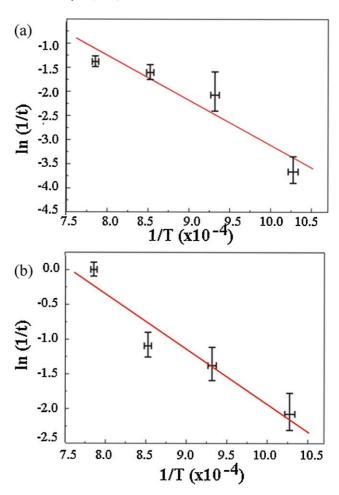


Fig. 8. Arrhenius plots of the logarithmic reciprocal time ( $t_{0.5}$  in min) against reciprocal temperature (in Kelvin) for the formation of cylindrical pores and specific surface area decrease by 50% from the dry pressed: (a)  $\rm ZrO_2$  and (b)  $\rm TiO_2$ , respectively.

linear region of the rate curves, at 700, 800, 900 and 1000 °C, respectively (Fig. 7). As for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> particles 50 and 10 nm in size, the apparent activation energy for the similar event was estimated as  $241 \pm 18$  and  $119 \pm 19$  kJ/mol, respectively indicating easier surface diffusion and particle movement for the latter. 12 A surprisingly low apparent activation energy  $(77 \pm 2 \text{ kJ/mol})$ for the coarsening-coalescence of ZrO2 nanoparticles in comparison with that of less refractory and small-sized γ-Al<sub>2</sub>O<sub>3</sub> before transformation into stable  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> <sup>12</sup> can be attributed to extensive  $t \rightarrow m$  transformation for enhanced plasticity. In this connection, transformation induced plasticity was experimentally proved for a number of materials.<sup>26</sup> Alternatively oxygen vacancies may facilitate surface diffusion of ZrO2 at temperatures in air in view of sintering of nanocrystalline zirconia at 550 °C in vacuum or 600 °C in air by surface diffusion.<sup>27</sup> The anatase  $\rightarrow$  rutile transformation did not occur within  $t_{0.5}$ , i.e. for 50% surface area reduction, in the temperature range of 700–1000 °C for the present TiO<sub>2</sub> nanoparticles. Thus, the onset coarsening-coalescence kinetics based on  $t_{0.5}$  in this temperature range has nothing to do with polymorphic transformation. Further sintering of larger-sized TiO<sub>2</sub> in anatase or rutile structure was reported to have a much higher activation energy of

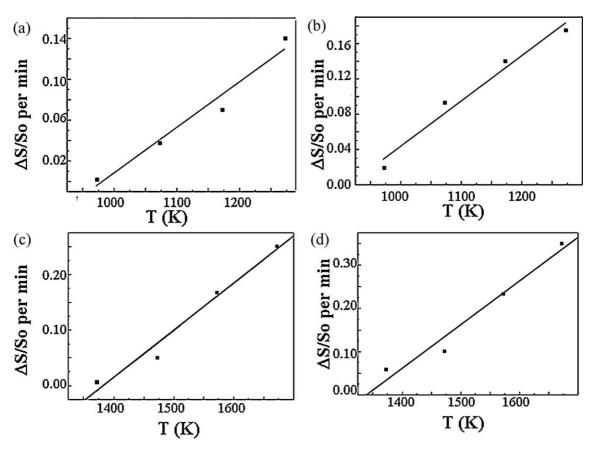


Fig. 9. Temperature dependent specific surface area reduction rate of (a)  $ZrO_2$ , (b)  $TiO_2$ , (c) and (d)  $Al_2O_3$  nanoparticles of 50 nm and 10 nm average diameter, respectively based on the data of Ref. 12.

284.9 and 259 kJ/mol, for surface- and grain boundary-diffusion controlled process, respectively. <sup>28</sup>

#### 4.3. Effect of specific surface area on phase change

The change of specific surface area in a slightly sintered body is of concern to the polymorphic transformation of  $ZrO_2$  and  $TiO_2$  at high temperatures in air. The  $t\to m$  transformation of undoped  $ZrO_2$  is expected to occur below  $1200\,^{\circ}C$  at ambient pressure according to the phase diagram.  $^{23}$  The specific surface area reduction down to  $20\,m^2/g$  (Table 1) seems to trigger extensive  $t\to m$  transformation of the present  $ZrO_2$  nanoparticles slightly sintered at temperatures. Such a surface area dependence of  $t\to m$  transformation is roughly in accord with the reported size-dependent structural stability of nano-sized  $ZrO_2$  particles.  $^{7,29}$ 

As for the  $TiO_2$  powders, the anatase  $\rightarrow$  rutile transformation, as expected above  $600\,^{\circ}\text{C}$  at ambient pressure according to the phase diagram,  $^{30}$  occurs only when the specific surface area is between 4 and  $20\,\text{m}^2/\text{g}$ , e.g. beyond 5 min at  $1000\,^{\circ}\text{C}$  (Table 2). In this connection, thermodynamic calculations suggested that at standard pressure, anatase is more stable than rutile when their particle sizes are below  $\sim 14.5\,\text{nm}$ .  $^{6,7}$  This theoretical critical size does not necessarily correspond to the critical specific surface area of anatase  $\rightarrow$  rutile transformation in the present  $TiO_2$  powders with an additional effect of matrix constraint when slightly sintered. In fact, anatase  $\rightarrow$  rutile

transformation is facilitated by enhanced ionic mobility at temperatures near the melting point of the nanoparticles according to multiparticle multiphase molecular dynamics (MD) simulations of TiO<sub>2</sub> nanoparticles undergoing sintering-induced phase transformations.<sup>31</sup>

## 4.4. Minimum temperature of vigorous coarsening-coalescence of nanoparticles in a static or dynamic process

The minimum temperature for vigorous coarsening–coalescence ( $T_{\rm cr}$ ) of nanoparticles is of concern to the preparation and heterogeneous catalysis use of ZrO<sub>2</sub> and TiO<sub>2</sub> in thin film<sup>9,10</sup> or bulk assembly upon thermal exposure in a static or dynamic process. The  $T_{\rm cr}$  is also of mechanical property concern to TZP and TiO<sub>2</sub> polycrystals in view of the improved ductility of sintered polycrystals with particle size reduction.<sup>4</sup>

On the basis of the extrapolation of steady specific surface area reduction rates, i.e. that measured at  $t_{0.5}$ , to null (Fig. 9),  $T_{\rm cr}$  are estimated as 710 and 641 °C, respectively for the present 30–50 nm sized ZrO<sub>2</sub> and 20–50 nm sized TiO<sub>2</sub> nanoparticles, whereas 1111 and 1064 °C, respectively for previously studied 50 nm and 10 nm-sized  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> particles. <sup>12</sup> This indicates that such sized ZrO<sub>2</sub> and TiO<sub>2</sub> nanoparticles are able to coarsen and coalesce at temperatures significantly lower than previously thought, i.e. near 1000 °C, for Brownian motion/coalescence of

 $\rm ZrO_2$  and  $\rm TiO_2^{18-21}$  as well as  $\rm Al_2O_3^{32}$  nanocondensates into a close packed manner under radiant heating in a dynamic PLA process.

As for nanoparticles finer than 10 nm in size, it is difficult to reliably measure the sintering or coarsening-coalescence rate in static or dynamic heating process for  $T_{\rm cr}$  estimation. Still, MD simulation was alternatively used to study the sintering to full coalescence of two small TiO2 nanoparticles (3 or 4 nm in size)<sup>33</sup> by tracking the shrinkage of the center-to-center distance and the growth of the sintering neck. Their simulations indicated that the process of sintering is strongly affected by temperature (573-1473 K) and initial orientation, and the dipole-dipole interaction between sintering nanoparticles plays a very important role at temperatures away from the melting point thus shedding light on the flame reactors.<sup>33</sup> Further MD study indicated that the acceleration achieved by the graphical processing units, originally developed for the visualization of highly realistic computer games, allowed simulating two TiO<sub>2</sub> nanoparticles (<5 nm) sintering from adhesion and neck growth to finally full coalescence over several hundred nanoseconds via surface diffusion at 1800 K.34

#### 5. Conclusions

- BET/BJH adsorption—desorption hysteresis isotherms of nanosized t-ZrO<sub>2</sub> and anatase TiO<sub>2</sub> powders were used satisfactorily to determine the time for the steady change of specific surface area as a characteristic of an onset coarsening—coalescence event.
- 2. In the temperature range of 700–1000 °C, the powder underwent onset sintering coupled with coarsening–coalescence before polymorphic transformation of  $TiO_2$ , but accompanied with  $t \rightarrow m$  transformation of  $ZrO_2$ . The critical specific surface area is  $20 \, \text{m}^2/\text{g}$  for  $t \rightarrow m$  transformation of  $ZrO_2$  and  $4-20 \, \text{m}^2/\text{g}$  for anatase  $\rightarrow$  rutile transformation of  $TiO_2$ .
- 3. The apparent activation energy for onset sintering-coarsening-coalescence of for  $ZrO_2$  and  $TiO_2$  nanoparticles was estimated as  $77\pm2$  and  $56\pm3$  kJ/mol. Surprising low apparent activation energy for the  $ZrO_2$  nanoparticles in comparison with that for less refractory and small-sized  $\gamma$ -Al $_2O_3$  nanoparticles can be attributed to extensive  $t\to m$  transformation of  $ZrO_2$  for enhanced plasticity.
- 4. The minimum temperature for coarsening—coalescence of the ZrO<sub>2</sub> and TiO<sub>2</sub> nanoparticles are 710 and 641 °C based on the extrapolation of steady specific surface area reduction rates to null. This critical temperature is significantly lower than previously thought, i.e. near 1000 °C, for the two nanocondensates under radiant heating in a dynamic PLA process.

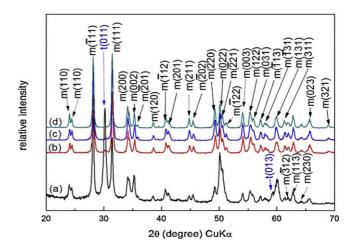
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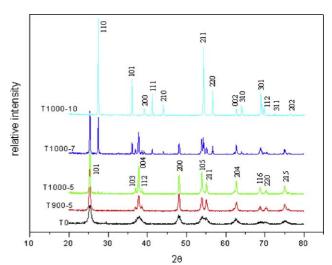
#### Appendix 1.

XRD (CuK $\alpha$ ) traces of the ZrO<sub>2</sub> powders: (a) dry-pressed, (b), (c) and (d) further fired at 800 °C for 8 min, 900 °C for 6 min and 1000 °C for 5 min, respectively having strong diffractions of the m-symmetry and strong to weak diffractions of the t-symmetry as labeled.



#### Appendix 2.

XRD (CuK $\alpha$ ) traces of the TiO<sub>2</sub> powders subjected to various treatments: (from bottom to top) dry-pressing, further fired at 900 °C for 5 min, 1000 °C for 5 min, 1000 °C for 7 min, and 1000 °C for 10 min, respectively having strong to moderate strong diffractions of the polymorphs labeled. The diffractions indexing of anatase and rutile (only for samples fired at 1000 °C for more than 7 min), are after JCPDS files 21-1272 and 21-1276, respectively.



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