

# Synthesis of nanosized Nd:YAG powders via gel combustion

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## Abstract

Nanosized Nd:YAG powders with different doping concentrations were synthesized at a significantly low temperature by a gel combustion method with citric acid as fuel and nitrate as oxidizer. It is found that the precursor is composed of hydroxycarbonate and dehydrates at below 500 °C to form carbonate. Mono-phase Nd:YAG crystallites can be formed without any intermediate phase at 850 °C. The value of crystallite size of the 850 °C calcined 1.0 at% Nd:YAG powder is 59 nm and the average particle size is 86 nm. The doping of neodymium into YAG garnets increases the lattice constant and the fluorescent intensity decreases drastically when the neodymium concentration is higher than 3 at% because of the fluorescent quenching effect.

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## 1. Introduction

The composition  $3\text{Y}_2\text{O}_3 \cdot 5\text{Al}_2\text{O}_3$  commonly called yttrium alumina garnet (YAG,  $\text{Y}_3\text{Al}_5\text{O}_{12}$ ) possesses the cubic garnet structure and when doped with neodymium YAG is an important solid-state laser material [1]. Conventionally, Nd:YAG is single crystal obtained by the Czochralski (CZ) method. Since Nd:YAG crystal was discovered first by Geusic et al. [2], the material has improved its optical properties rapidly and the Nd:YAG lasers have been applied with remarkable success to various industrial fields [3–6]. However, tens of days are required to grow a large size single crystal using the CZ method and it is extremely difficult to dope >1 at% neodymium homogeneously as a luminescence element in a YAG crystal [7,8], because the effective segregation coefficient of neodymium element for the YAG crystal is  $\sim 0.2$ , as reported in previously papers [9]. Furthermore, for melt growing a YAG single crystal, expensive Ir crucible has usually to be used and it is very difficult to get large size of samples.

Compared with Nd:YAG single crystals, polycrystalline Nd:YAG transparent ceramics have the advantages of being inexpensive and easy to fabricate, having a high-doped concentration, mass production and large size [10]. Recently, polycrystalline Nd:YAG ceramic laser materials have received much attention because the optical quality has been improved greatly and highly efficient laser oscillations could be obtained that comparable in efficiency with Nd:YAG crystals [11–18]. Heavily doped Nd:YAG ceramics are necessary for obtaining a high-power output laser. Owing to such application potential of Nd:YAG ceramics, some wet-chemical methods for the synthesis of pure and homogeneous YAG or Nd:YAG powders are adopted because of good mixing of the starting materials and excellent chemical homogeneity of the final products. These methods include sol-gel [19–22], precipitation [23–25], hydrothermal treatment [26–28], spray pyrolysis [29,30], combustion [31,32] and polymerized complex method [33]. Most of these methods suffer from complexity and time consuming procedures and/or mismatch in the solution behavior of the constituents.

However, gel combustion methods for synthesizing fine powders are of significant interest, primary due to the overall simplicity of the technique. Also the method can guarantee a precise control of cationic stoichiometry and homogeneous

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mixture of metal ions at the atomic level. In our previous work [34,35], pure YAG powders were prepared by the gel combustion method. Here, we report the preparation of Nd:YAG powders with different doping concentrations from a mixed-metal citrate–nitrate precursor by a simple gel combustion method at a relatively low temperature. The thermal behavior of the precursor, the phase transformation of the calcined powders, the micrographs of the precursor and the calcined powders, the lattice constant and the photoluminescence spectra of the gained Nd:YAG powders with different doping concentrations are investigated in this paper.

## 2. Experimental

Neodymium oxide was dissolved in concentrated nitric acid by stirring the mixture at 100 °C for 10 min in a beaker. Aluminum nitrate nonahydrate ( $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ ) and yttrium nitrate hexahydrate ( $\text{Y}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ ) dissolved in deionized water were added and the resulting mixtures were stirred at 60 °C for 30 min. The molar ratio of Nd:Y was controlled to 0.6, 1, 3, 4, 6 and 8%. The molar ratio of (Nd + Y):Al was kept as 3:5. In the mixed-metal nitrate solution, citric acid was added and the citrate-to-nitrate molar ratio  $\gamma$  was 1 (where  $\gamma = M_{\text{citrate}}/M_{\text{nitrate}}$ ,  $M$  = molar amount of the compound). The mixed Y–Al–Nd citrate–nitrate aqueous solution was continuously stirred at 50–60 °C to obtain yellowish solution of the desired component. The solution was concentrated by evaporation at a solution temperature of  $\sim 80$  °C under constant stirring, producing a transparent gel. A portion of this gel was collected for characterization. The gel was rapidly heated to 200 °C and

the combusted products were calcined at various temperatures from 500 to 1100 °C in air for 2 h with a heating rate of 5 °C min<sup>-1</sup>.

TG–DSC analysis was recorded on a Netzsch STA 449C instrument. Measurements were taken under a continuous flow of air (20 ml min<sup>-1</sup>). Samples were heated at 10 °C min<sup>-1</sup> to 1200 °C and then cooled to room temperature. The mass spectra (MS) of the gaseous products evolved from the samples in TG–DSC were simultaneously monitored with a Balzers Thermostat<sup>TM</sup> quadrupole mass spectrometer.

Phase identification was performed by a Rigaku D/max2200PC X-ray diffractometer (XRD) using nickel filtered Cu K $\alpha$  radiation (1.5406 Å) in the range of  $2\theta = 10$ –80°. The tube current and voltage were 40 mA and 40 kV, respectively. The scanning speed was 4° min<sup>-1</sup> and the step size was 0.02.

FTIR spectra of the as-prepared precursor and the calcined powders were measured on a Nicolet NEXUS 7000C spectrophotometer in the 400–4000 cm<sup>-1</sup> range using the KBr pellet ( $\sim 1$  wt% sample) method. Each analysis consisted of a minimum 32 scans and the resolution was  $\pm 2$  cm<sup>-1</sup>.

Micrographs were taken using a JEOL JSM-6700 FESEM. Powders were dispersed in ethanol using an ultrasonic horn. Drops of the dispersed materials were deposited on a copper stub and dried in nature. Samples were sputter coated with palladium using a JEOL JFC-1600 auto fine coater system.

Specific surface area analyses were conducted at 77 K using a Norcross ASAP 2010 Micromeritics, with N<sub>2</sub> as the absorbate gas. Samples were degassed at 150 °C until the air pressure was

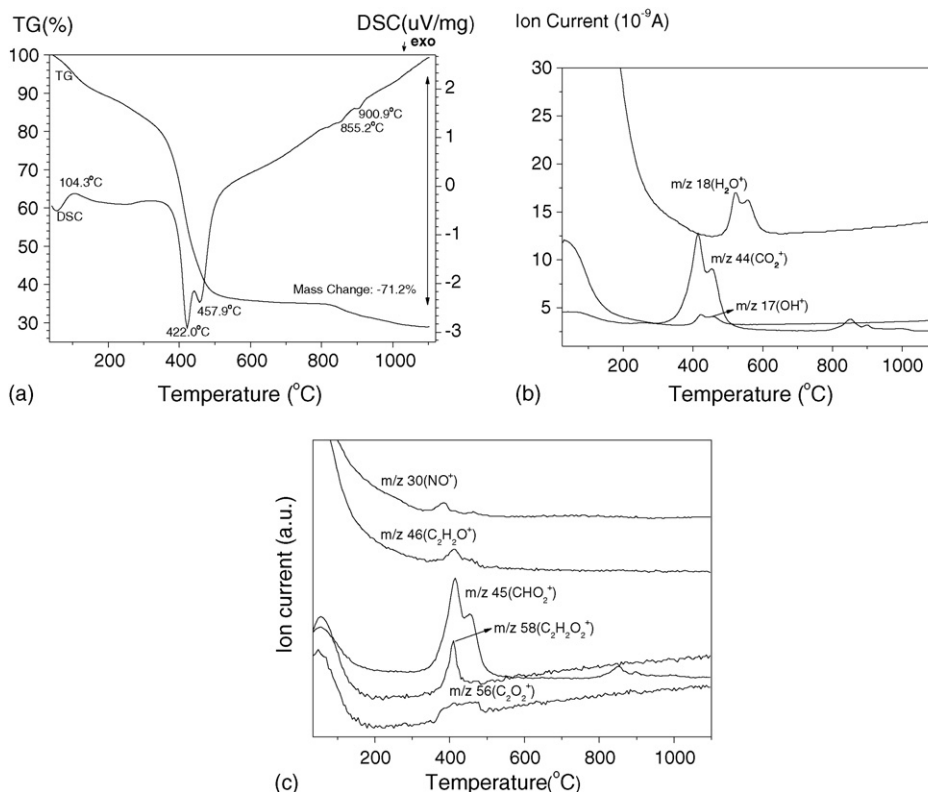


Fig. 1. (a) TG–DSC curve of the precursor; (b and c) mass spectra of characteristic ions from the precursor.

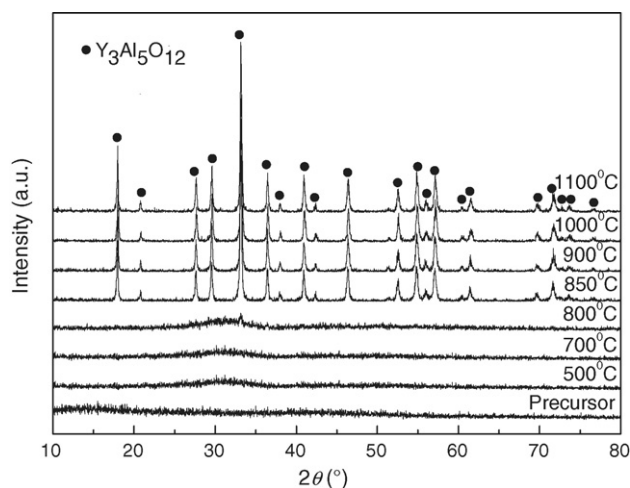


Fig. 2. The XRD patterns of the precursor and the powders calcined at various temperatures.

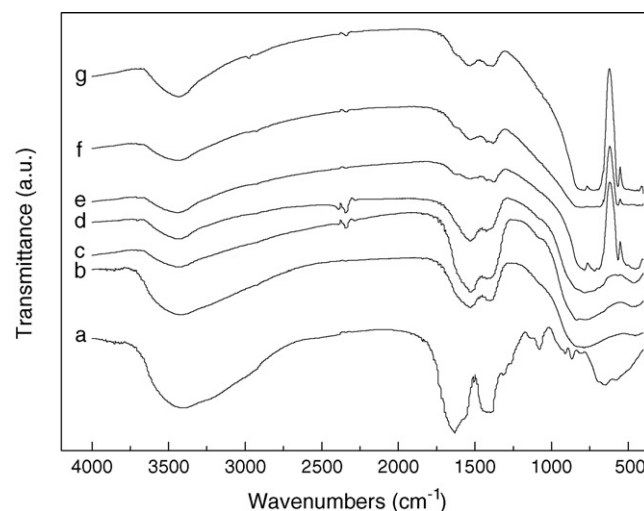


Fig. 3. FTIR spectra of (a) the precursor and the powders calcined at (b) 500 °C; (c) 700 °C; (d) 800 °C; (e) 850 °C; (f) 1000 °C; (g) 1100 °C.

below 5  $\mu\text{mHg}$ . The specific surface areas were calculated using the BET multipoint method with eight data points.

Phase assemblages were determined by XRD using an XDC1000 Guinier–Hägg camera with Cu K $\alpha$  radiation and Si as an internal standard. The measurement of X-ray film and refinement of lattice parameters were completed by a computer-linked line scanner (LS-18) system and the program PIRUM.

Photoluminescence (PL) spectra were recorded using a SPEX Fluorolog-3 modular spectrofluorometer employing a Hamamatsu R5509-72 photomultiplier as the light detector, gratings with a groove density of 600 lines  $\text{mm}^{-1}$  and a 230 mW diode laser as the excitation source.

### 3. Results and discussion

The gel combustion synthesis involves a non-explosive exothermic reaction between metal nitrates and citric acid. The citrate–nitrate gel, after thermal dehydration, causes nitrate decomposition giving oxides of nitrogen ( $\text{NO}_x$ ). The gaseous  $\text{NO}_x$  reacts with citrate generating heat and more gases.

#### 3.1. Thermal analysis

Fig. 1 shows the TG–DSC curve and MS spectra of the precursor. A total mass loss of 71.2% indicates that the auto combustion reaction of the gel at 200 °C is incomplete. The infrared spectrum of precursor indicates the presence of a hydroxyl group and the carbonate group therein Fig. 3. It is considered that precursor is composed of hydroxycarbonate contaminated with unburnt carbon. A sharp weight loss appears at temperature range of 350–500 °C in TG curve and two distinct exothermic peaks are detected in the DSC curve, as shown in Fig. 1(a). The substantial mass loss results from the release of  $\text{CO}_2$ ,  $\text{H}_2\text{O}$  and  $\text{NO}$  gases due to the decomposition of the precursor, as detected by the mass spectra (Fig. 1(b and c)). The  $\text{NO}$  signal in the course of decomposition may come from the residual nitrate during the combustion reaction. Some

organic groups such as  $\text{OH}^+$  ( $m/z = 17$ ),  $\text{C}_2\text{H}_2\text{O}^+$  ( $m/z = 42$ ),  $\text{CHO}_2^+$  ( $m/z = 45$ ),  $\text{C}_2\text{O}_2^+$  ( $m/z = 56$ ) and  $\text{C}_2\text{H}_2\text{O}_2^+$  ( $m/z = 58$ ) are observed in the mass spectra at temperature range of 350–500 °C, which is probably related to the fragments of citrate or citric acid. Considering the infrared spectrum in Fig. 3, it can be supposed that hydroxycarbonate of the precursor dehydrates at below 500 °C to form carbonate. Close observation shows that two small exothermic peaks appear at 855.2 and 900.9 °C, respectively. It can be considered that the former results from the crystallization of amorphous Nd:YAG and the latter is attributed to the oxidation of free carbon impurity. This conclusion can be supported by the results of XRD patterns in Fig. 2 and mass spectra in Fig. 1(b). The mentioned mass loss of 71.2% is higher than a theoretically calculated value ( $\sim 41.8\%$ ) for the transformation of hydroxycarbonate dehydrates to 1.0 at% Nd:YAG, as is due to the existence of excess citric acid and carbon from incomplete combustion of citrate.

#### 3.2. Phase analysis by XRD

XRD patterns of the precursor and the powders calcined at different temperatures are shown in Fig. 2. Since no obvious diffraction peaks are observed, it can be concluded that the precursor and the powders from the precursor calcined below 800 °C are amorphous in nature. At 800 °C, the strongest characteristic peak of Nd:YAG phase appears with rather weak intensity, indicating the crystallization of amorphous Nd:YAG. With increase of the calcination temperature up to 850 °C, high and sharp peaks observed indicate the improved crystallinity of Nd:YAG. Therefore, it can be considered that Nd:YAG crystallizes directly from the amorphous phase without any intermediate phase. Crystallite size of the 850 °C calcined powder is determined by X-ray line broadening and calculated using the Scherrer equation:

$$d_{\text{Crys}} = \frac{0.89\lambda}{B \cos \theta}$$

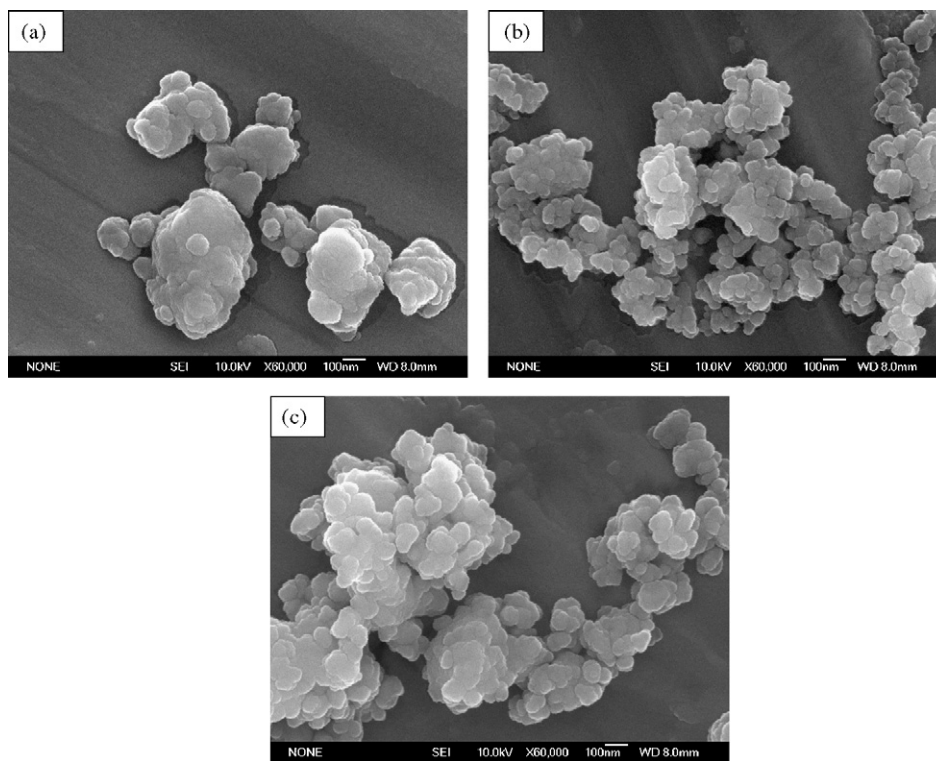


Fig. 4. FESEM micrographs of (a) the precursor and the powders calcined at (b) 850 °C; (c) 900 °C.

where

$$B = (B_o^2 - B_c^2)^{1/2}$$

where  $B_o$  is the full width at half maximum (in  $2\theta$  (°)),  $B_c$  the correction factor for instrument broadening,  $\theta$  the peak maximum (in  $2\theta$  (°)), and  $\lambda$  is the Cu K $\alpha$  weighted average wavelength. The value of crystallite size calculated from the (4 2 0) XRD peak is 59 nm. At the temperatures higher than 850 °C, higher intensities of Nd:YAG peaks are observed, indicating crystallite growth of the Nd:YAG grains.

### 3.3. Infrared spectra analyses

Fig. 3 shows the FTIR spectra of the precursor and the powders from the precursor calcined at various temperatures. The spectrum of the precursor clearly shows a broad absorption around  $3450\text{ cm}^{-1}$ , which is a characteristic stretching vibration of hydroxyl groups (O–H). Peaks localized at  $1636$  and  $1395\text{ cm}^{-1}$  are assigned to asymmetrical and symmetrical stretching vibration of carboxylate (O–C=O), respectively. For the powder calcined at  $500\text{ °C}$ , the spectrum reveals that the carboxylate of the precursor transforms into the carbonate with the characteristic asymmetrical split stretching localized at  $1535$  and  $1403\text{ cm}^{-1}$ . For the powder calcined at  $850\text{ °C}$ , the characteristic absorption bands of YAG appear, as is in agreement with the result of XRD mentioned above. Absorption bands at  $1535$  and  $1378\text{ cm}^{-1}$  may be caused by the absorption of  $\text{H}_2\text{O}$  and  $\text{CO}_2$  in the synthesized powder. All samples show intensive O–H vibration band even they were annealed at higher temperatures. It is also caused by the

absorption of  $\text{H}_2\text{O}$  in air. There are no significant changes in the FTIR spectra with further increase of calcination temperature.

### 3.4. FESEM and HRTEM analysis

Fig. 4 shows the FESEM micrographs of the precursor and the powders from the precursor calcined at different temperatures. The morphology of the as-synthesized precursor is depicted in Fig. 4(a). The nature of the agglomerates in the precursor indicates the existence of carboxylate. Upon calcinations, they crystallize and their shape becomes more discrete at  $850\text{ °C}$ , as shown in Fig. 4(b). The specific surface area of the  $850\text{ °C}$  calcined powder is  $16.17\text{ m}^2/\text{g}$ . The particle average size is derived from the formula with  $d_{\text{BET}}$  being the average particle size,  $\rho$  the density of the material, and  $S_{\text{ssa}}$  its specific surface area.

$$d_{\text{BET}} = \frac{6}{\rho S_{\text{ssa}}}$$

Particle size obtained using the above formula is 86 nm. It can be concluded that the agglomeration scope in the corresponding powder is relatively lower according to the crystallite size calculated above.

It is shown in Fig. 4(c) that as calcination temperature goes up to  $900\text{ °C}$ , the crystallite and the agglomerate size in the corresponding powder become bigger. There is a tendency the boundary of the individual crystallites becomes more discernible. Fig. 5 shows the HRTEM lattice image of the powder calcined at  $850\text{ °C}$ . It can be seen that the Nd:YAG powder is well-crystallized, which supports both the XRD and FTIR observations in Figs. 2 and 3.



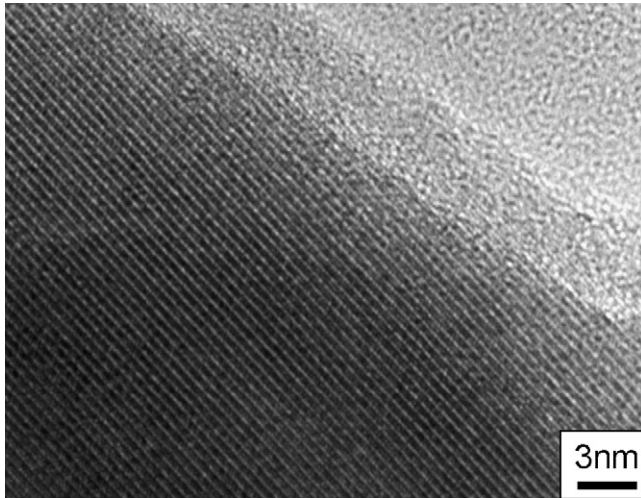


Fig. 5. HRTEM micrograph of the powder calcined at 850 °C.

### 3.5. Lattice constant

The fully crystallized phase is identified as garnet structure for powders after being calcined at 850 °C. The cell constants calculated from the XRD patterns are 12.0598, 12.0612, 12.0619, and 12.0703 Å for 0, 0.6, 1.0, and 3.0 at% Nd:YAG, respectively. The values are greater than what theoretically they should be ( $a = 12.02$  Å for YAG, JCPDS No. 82-0575). The reason may be caused by the powder consisting of nanoparticles, which results in the lattice expansion [22,36].

The relationship between the lattice parameters and the Nd concentration is shown in Fig. 6. It does not present the strictly linear relationship. Because the effective radius of  $\text{Nd}^{3+}$  (0.983) is bigger than that of  $\text{Y}^{3+}$  (0.900) [37], doping Nd into YAG garnets will increase the crystal lattice and lead to the structure deformation.

### 3.6. Photoluminescence spectra

The room-temperature photoluminescence emission spectra for  $^4\text{F}_{3/2}$  to  $^4\text{I}_{11/2}$  transition of Nd:YAG powders with different doping concentrations are illustrated in Fig. 7. It can be seen

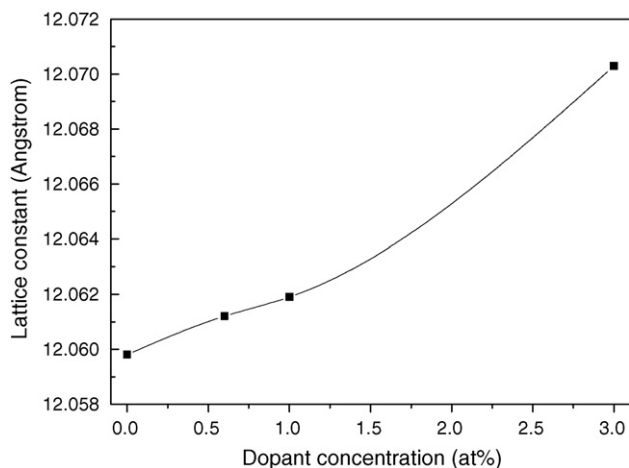


Fig. 6. Plot of the lattice constant with Nd doping concentrations in YAG.

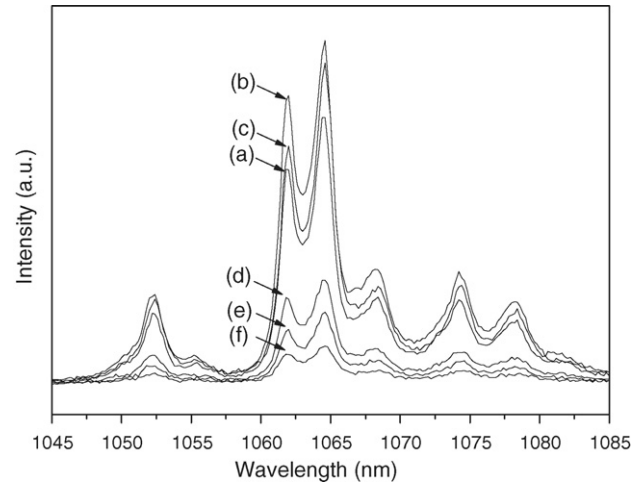


Fig. 7. Photoluminescence emission spectra of Nd:YAG powders with different doping concentrations (a) 0.6 at%; (b) 1.0 at%; (c) 3.0 at%; (d) 4.0 at%; (e) 6.0 at%; (f) 8.0 at% (under excitation of 808 nm radiation).

that the main fluorescence peaks are all near 1064.6 nm for 0.6, 1.0, 3.0, 4.0, 6.0 and 8.0 at% Nd:YAG powders, respectively. The fluorescent intensity improves when the doping concentration increases from 0.6 to 1.0 at%. There is a slight decrease in intensity when the neodymium concentration increases to 3.0 at%. However, fluorescent intensity decreases drastically with further increase of neodymium concentration because of the fluorescent quenching effect. No obvious wavelength redshift has been observed with increasing the neodymium concentration. The fluorescent line widths for 0.6, 1.0 and 3.0 at% Nd:YAG powders are almost identical. It means the fluorescent quenching effect is very weak for neodymium concentration lower than 3 at%, which is much higher than that of Nd:YAG single crystals. The fluorescent line width at 1064.6 nm is also a little broadened with the concentration increases greater than 3.0 at% due to the quenching effect.

## 4. Conclusions

Nanosized Nd:YAG powders with different doping concentrations were synthesized by a gel combustion method with citrate-to-nitrate ratio  $\gamma = 1$ . The precursor composed of hydroxycarbonate dehydrates below 500 °C to form carbonate. Mono-phase Nd:YAG powders can be obtained at 850 °C without forming any intermediate phase. The crystallite size of the 850 °C calcined 1.0 at% Nd:YAG powder is 59 nm and the average particle size is 86 nm. With increase of calcination temperature, the crystallite and the agglomerate size in the corresponding powder become bigger. The doping of neodymium into YAG garnets increases the lattice constant and leads to the structure deformation. The main fluorescence peaks are all near 1064.6 nm for Nd:YAG powders with different doping concentrations. The fluorescent quenching effect is very weak for neodymium concentration lower than 3 at%. The highly pure, relatively homogeneous and high doping concentration Nd:YAG powders are desirable to prepare transparent polycrystalline Nd:YAG ceramics as solid-state laser materials.

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